



## **Tertiary treatment of urban wastewater by solar and UV-C driven advanced oxidation with peracetic acid: effect on contaminants of emerging concern and antibiotic resistance**

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Corresponding Author: Professor Luigi Rizzo, PhD

Corresponding Author's Institution: University of Salerno

First Author: Luigi Rizzo, PhD

Order of Authors: Luigi Rizzo, PhD; Teresa Agovino; Samira Nahim Granados; Maria Castro-Alf rez; Pilar Fern ndez-Ib   ez; Maria I Polo-L  pez

Abstract: Photo driven advanced oxidation process (AOP) with peracetic acid (PAA) has been poorly investigated in water and wastewater treatment so far. In the present work its possible use as tertiary treatment of urban wastewater to effectively minimize the release into the environment of contaminants of emerging concern (CECs) and antibiotic resistant bacteria was investigated. Different initial PAA concentrations, two light sources (sunlight and UV-C) and two different water matrices (groundwater (GW) and wastewater (WW)) were studied. Low PAA doses were found to be effective in the inactivation of antibiotic resistant *Escherichia coli* (AR *E. coli*) in GW, being UV-C driven process faster (detection limit (DL) achieved for a cumulative energy (QUV) of 0.3 kJL<sup>-1</sup> with 0.2 mg PAA L<sup>-1</sup>) than solar driven one (DL achieved at QUV=4.4 kJL<sup>-1</sup> with 0.2 mg PAA L<sup>-1</sup>). Really fast inactivation rates of indigenous AR *E. coli* were observed in WW. Higher QUV and PAA initial doses were necessary to effectively remove the three target CECs (carbamazepine (CBZ), diclofenac and sulfamethoxazole), being CBZ the more refractory one. In conclusion, photo driven AOP with PAA can be effectively used as tertiary treatment of urban wastewater but initial PAA dose should be optimized to find the best compromise between target bacteria inactivation and CECs removal as well as to prevent scavenging effect of PAA on hydroxyl radicals because of high PAA concentration.

Suggested Reviewers: Monica Brienza  
Montpellier University  
monica.brienza@ird.fr

Manuel A Manzano Qui   ones  
University of Cadiz  
manuel.manzano@uca.es

Popi Karaolia

University of Cyprus  
Pkarao01@ucy.ac.cy

Sureyya Meric  
Namik Kemal University  
smeric@nku.edu.tr

Dear Editor,

we kindly ask you to consider for possible publication in “Water Research” journal our research paper entitled:

**Tertiary treatment of urban wastewater by solar and UV-C driven advanced oxidation with peracetic acid: effect on contaminants of emerging concern and antibiotic resistance**

Photo driven advanced oxidation process (AOP) with peracetic acid (PAA) has been poorly investigated in water and wastewater treatment so far. In the present work, its possible use as tertiary treatment of urban wastewater to effectively minimize the release into the environment of contaminants of emerging concern (CECs) and antibiotic resistant bacteria was investigated. In particular, the effect of two light sources (sunlight and UV-C) on the simultaneous inactivation of antibiotic resistant *E. coli* and removal from real wastewater of three CECs (namely, carbamazepine, diclofenac and sulfamethoxazole) (spiked) at quite realistic concentrations was investigated. To our knowledge, this is the first work where sunlight/PAA process has been investigated. In our opinion the results achieved can significantly advance the knowledge about photo driven AOP with PAA and its contribution to successfully address emerging challenges in urban wastewater treatment.

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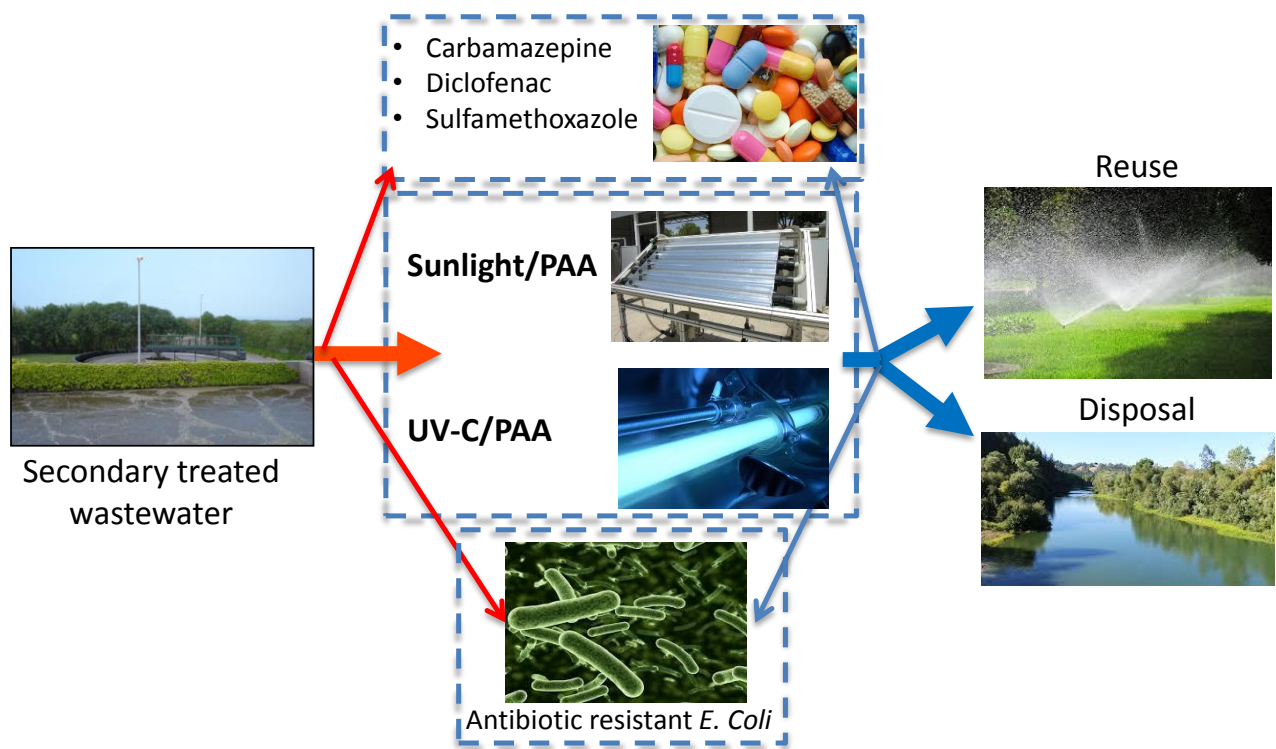
The corresponding authors

Luigi Rizzo

María Inmaculada Polo-López

## Highlights

- First time sunlight/PAA investigated in the removal of CECs
- Low PAA doses effective in the inactivation of AR *E. coli* by UV-C/PAA and sunlight/PAA
- Higher  $Q_{UV}$  and PAA initial doses are necessary to effectively remove the target CECs
- UV-C/PAA faster than sunlight/PAA in AR *E. coli* inactivation and CECs removal
- Sunlight/PAA possible option for tertiary treatment in small wastewater treatment plants



**Tertiary treatment of urban wastewater by solar and UV-C driven advanced  
oxidation with peracetic acid: effect on contaminants of emerging concern and  
antibiotic resistance**

Luigi Rizzo<sup>1,\*</sup>, Teresa Agovino<sup>1</sup>, Samira Nahim-Granados<sup>2</sup>, María Castro-Alferez<sup>2</sup>, Pilar  
Fernández-Ibáñez<sup>3</sup>, María Inmaculada Polo-López<sup>2,\*</sup>

<sup>1</sup>Department of Civil Engineering, University of Salerno, Via Giovanni Paolo II 132,  
84084 Fisciano (SA), Italy

<sup>2</sup> CIEMAT-Plataforma Solar de Almeria, P.O. Box 22, Tabernas (Almería), Spain.

<sup>3</sup>Nanotechnology and Integrated BioEngineering Centre, School of Engineering,  
University of Ulster, Newtownabbey, Northern Ireland, United Kingdom

\*Corresponding authors: [l.rizzo@unisa.it](mailto:l.rizzo@unisa.it), [mpolo@psa.es](mailto:mpolo@psa.es)

## Abstract

Photo driven advanced oxidation process (AOP) with peracetic acid (PAA) has been poorly investigated in water and wastewater treatment so far. In the present work its possible use as tertiary treatment of urban wastewater to effectively minimize the release into the environment of contaminants of emerging concern (CECs) and antibiotic resistant bacteria was investigated. Different initial PAA concentrations, two light sources (sunlight and UV-C) and two different water matrices (groundwater (GW) and wastewater (WW)) were studied. Low PAA doses were found to be effective in the inactivation of antibiotic resistant *Escherichia coli* (AR *E. coli*) in GW, being UV-C driven process faster (detection limit (DL) achieved for a cumulative energy ( $Q_{UV}$ ) of  $0.3 \text{ kJ L}^{-1}$  with  $0.2 \text{ mg PAA L}^{-1}$ ) than solar driven one (DL achieved at  $Q_{UV}=4.4 \text{ kJ L}^{-1}$  with  $0.2 \text{ mg PAA L}^{-1}$ ). Really fast inactivation rates of indigenous AR *E. coli* were observed in WW. Higher  $Q_{UV}$  and PAA initial doses were necessary to effectively remove the three target CECs (carbamazepine (CBZ), diclofenac and sulfamethoxazole), being CBZ the more refractory one. In conclusion, photo driven AOP with PAA can be effectively used as tertiary treatment of urban wastewater but initial PAA dose should be optimized to find the best compromise between target bacteria inactivation and CECs removal as well as to prevent scavenging effect of PAA on hydroxyl radicals because of high PAA concentration.

**Keywords:** advanced oxidation processes, antibiotic resistant bacteria, peracetic acid, solar driven processes, wastewater treatment, water disinfection.



## 1. Introduction

The concern for the release into the environment of micro-contaminants from point sources, such as wastewater treatment plants (Petrie et al., 2015), as well as the need of wastewater reuse, due to the lack of fresh water sources (Fatta Kassinos, 2015), have been stimulating the discussion in the last years about new relevant regulations (JRC, 2015; Brack et al., 2017) to make urban wastewater treatment plants (UWTPs) effluents safer. As matter of fact, because of inconsistent national legislation across Member States, the European Commission is working to a legislative proposal on minimum quality requirements (MQR) for water reuse in agricultural irrigation and aquifer recharge (Rizzo et al., 2018). Meanwhile, in the attempt to minimize the release of micro-contaminants (also known as contaminants of emerging concern, CECs) from UWTPs in the environment, Switzerland enacted a regulation entered into force on January 2016, which requires the upgrade of UWTPs within the next twenty years ([www.bafu.admin.ch](http://www.bafu.admin.ch)). Accordingly, a selection of CECs from a list of twelve compounds need to be removed by 80% (Bourgin et al. 2018). The increasing interest toward CECs and other emerging contaminants, such as antibiotic resistant bacteria (ARB) and genes (ARGs), as well as the ongoing discussion on new related regulations, are driven the attention on UWTPs that are not or poorly effective to successfully address these new challenges (Rizzo et al., 2013; Petrie et al., 2015; Krzeminski et al., 2019). In a multi-barrier approach, typically implemented in UWTPs trains, the most important role to minimize the release of CECs and the risk of antibiotic resistance spread into the environment relies on tertiary treatment (Ferro et al., 2015; Bourgin et al. 2018). Unfortunately, consolidated tertiary treatments either did not show to be effective or did result in some drawbacks. As matter of fact, chlorination, typically used as disinfection step before UWTP effluent disposal or reuse, is poorly effective in the removal of CECs (Fu et al., 2018) and in controlling antibiotic resistance (Fiorentino et al., 2015; Yuan et al., 2015), as well as results in the formation of

hazardous disinfection by-products (DBPs) (Huang et al., 2016; Keun-Young et al., 2016). UV-C disinfection is effective in the inactivation of pathogens when sand filtration is used as pre-treatment, but poor or not effective at all (depending on the characteristics of the target molecule) in the removal of CECs (Lian et al., 2015). Tertiary treatment by ozonation can inactivate pathogens and remove CECs, but an additional post-treatment step can be necessary to remove ozonation by products (i.e., nitrosodimethylamine and bromate) (Hollender et al., 2009). Activated carbon adsorption is also an effective tertiary treatment for the removal of CECs (Rizzo et al., 2015; Ahmed, 2017) but an additional disinfection process may be necessary, in particular to meet more stringent standards for wastewater reuse. Due to their efficiency in the removal of CECs and inactivation of pathogens because of the formation of reactive oxygen species (ROS), such as hydroxyl radicals ( $\text{HO}^\bullet$ ), advanced oxidation processes (AOPs) represent a possible alternative to conventional tertiary treatments. AOPs can be classified in different ways, one being photo (among which UV/ $\text{H}_2\text{O}_2$ , photo-Fenton and  $\text{TiO}_2$  photocatalysis) and not photo (such as Fenton,  $\text{O}_3$ ,  $\text{O}_3/\text{H}_2\text{O}_2$  etc.) driven AOPs. Photo driven AOPs, can be also operated with solar radiation to save energy costs (Malato et al., 2009). Homogeneous photo driven AOPs (such as UV/ $\text{H}_2\text{O}_2$  and photo-Fenton) are more attractive than heterogeneous photocatalytic processes (such as UV/ $\text{TiO}_2$ ) for short term application as tertiary treatment method of urban wastewater. As matter of fact, the technology of heterogeneous processes is not yet fully mature for large scale applications, basically for limitations related either to catalyst removal after treatment or fixing catalyst on a support (Sacco et al., 2018), and it would be more expensive than homogeneous photo driven AOPs based technology. Peracetic acid (PAA) is increasingly used as alternative option to chlorination in wastewater disinfection (Antonelli et al., 2013; Formisano et al., 2016). However, disinfection efficiency (Formisano et al., 2016) and CECs removal (Cai et al., 2017) may be improved by coupling PAA with UV radiation, due to the formation of  $\text{HO}^\bullet$ .

Accordingly, it is worthy of investigation possible use of this process as homogeneous photo driven AOP for tertiary treatment of urban wastewater. In particular, before possible upscale it would be of interest to learn process efficiency in the removal of CECs at environmentally significant concentrations as well as its effect on antibiotic resistance. Accordingly, in the present work, UV/PAA process at pilot scale was investigated for the first time in the inactivation of an antibiotic resistant (AR) (sulfamethoxazole) *Escherichia coli* (*E. coli*) strain, and in the degradation of a mixture of three CECs: (anticonvulsant) Carbamazepine (CBZ), (analgesic) Diclofenac (DCF) and (antibiotic) Sulfamethoxazole (SMX), at initial concentration of 100  $\mu\text{gL}^{-1}$  each, in a lower complexity aqueous matrix (namely groundwater (GW)). Subsequently, UV/PAA process was investigated in wastewater (WW) treatment for the inactivation of indigenous AR *E. coli* and the degradation of the same mixture of CECs. The effect of light source (solar light Vs UV-C radiation) was also investigated in both aqueous matrices (GW and WW). *E. coli* was chosen as model microorganism because it is considered among the most important vectors in the dissemination of antimicrobial resistance in the environment (Rizzo et al., 2013) as well as because it is used as pathogen indicator in regulations and guide lines for wastewater disposal and reuse (USEPA, 2012; ISO, 2015). CBZ, DCF and SMX were selected as model CECs because typically detected in urban wastewater (Petrie et al., 2015).

## **2. Material and methods**

### **2.1 Chemicals**

Carbamazepine (CBZ), Diclofenac (DCF) and Sulfamethoxazole (SMX), all high purity grade (>99%), were purchased from Sigma-Aldrich. Peracetic Acid (PAA) solution, containing 30% w/w of PAA and 4.5 % w/w of  $\text{H}_2\text{O}_2$  was purchased from Sigma-Aldrich

and used as obtained. Sodium thiosulfate ( $\text{Na}_2\text{S}_2\text{O}_3$ , 99% w/w) and bovin liver catalase were used, as received from Sigma-Aldrich. Titanium IV oxysulfate (Riedel-de-Haën, Germany) was used, as obtained from the manufacturer.

## 2.2 Water matrices

To evaluate water matrix effect on UV/PAA process tests were performed with both GW and wastewater WW. GW was collected from a borehole located on the PSA site with depth of approximately 200 m. Physical-chemical characteristics of both water matrices are given in Table 1.

**Table 1**

GW samples were inoculated with SMX resistant *E. coli* strain selected from the effluent of the biological process (activated sludge) of Almeria (Spain) UWTP, according to the procedure explained in the subsequent paragraph 2.4. WW samples were taken from the same UWTP during spring-summer time (June-August 2017), at the same location and used for disinfection/oxidation experiments without inoculum. Samples were collected in amber glass bottles and stored at 4 °C for a maximum of two days.

## 2.3 AOPs and control experiments

Experimental design included two pilot scale reactors namely a Compound Parabolic Collector (CPC) for outdoor sunlight experiments and UV-C reactor (UVC).

## 139 2.3.1 Sunlight/PAA experiments with CPC

140 The CPC reactor used was previously described (Polo-López et al., 2010). Briefly, it  
141 consists of two 60 L tube modules, each one equipped with 10 cylindrical glass tubes made  
142 of borosilicate glass, with a diameter of 5 cm, a length of 150 cm and a thickness of 2.5  
143 mm, to allow a 90% transmission of UVA in the natural solar spectrum. The photoreactor  
144 is tilted at 37° with respect to the horizontal to maximize solar radiation. A tank housed in  
145 the lower part of the pilot plant is connected to a pump, which allowed to operate the  
146 modules in a recirculation mode. The CPC reactor has a total illuminated volume of 45 L  
147 and it was operated with a water flow rate of 30 Lmin<sup>-1</sup>. This flow rate guarantees a  
148 turbulent regime, which results in a proper homogenization of water samples and in a good  
149 contact between bacteria, contaminants and oxidant. Disinfection experiments were carried  
150 out during 300 minutes of solar exposure on clear sunny days at PSA from May 2017 to  
151 August 2017. More specifically, firstly the solar photoreactor was filled in with 60 L of  
152 water matrix (GW or RW) and then, the mixture of the three CECs (100 µgL<sup>-1</sup> of initial  
153 concentration each) and the sulfamethoxazole resistant *E.coli* solution (10<sup>6</sup> CFU mL<sup>-1</sup>  
154 initial bacterial density) were spiked in. After 5 minute of homogenization with the CPC  
155 still covered, control sample was taken in order to ensure the presence of bacteria and  
156 contaminants. Then, PAA was added to the reactor tank and after 10 minute of  
157 recirculation, the experiment started as the cover was removed. Samples were collected at  
158 regular intervals depending on the treatment. Water temperature ranged from 21.0 to 47.7  
159 °C and pH ranged from 8.04 to 9.41. A fixed pyranometer (Model CUV5, 280-400 nm,  
160 Kipp & Zonen, Netherlands) registered in continuous the incident light. The inactivation  
161 and degradation rates were plotted as a function of both the experimental time (t) and the  
162 cumulative energy per unit of volume (Q<sub>UV</sub>) received in the photoreactor, commonly used

to compare results under different condition (Malato et al., 2009), and calculated by Equation (1):

$$Q_{UV,n} = Q_{UV,n-1} + \Delta t_n \cdot UV_{G,n} \cdot A_r / V_t \quad \Delta t_n = t_n - t_{n-1} \quad (\text{Eq.1})$$

where  $Q_{UV,n}$  and  $Q_{UV,n-1}$  is the UV energy accumulated per liter ( $\text{kJ L}^{-1}$ ) at times  $n$  and  $n-1$ ,  $UV_{G,n}$  is the average incident radiation on the irradiated area,  $\Delta t_n$  is the experimental time of sample,  $A_r$  is the illuminated area of the reactor ( $\text{m}^2$ ) and  $V_t$  is the total volume of water treated (L). Each experiment was performed in duplicate, between 10 am to 16 pm local time, and the results were plotted as the average of the two replicates.

### 2.3.2 UVC plant

The UVC reactor is a plant equipped with three UVC lamps (254 nm peak wavelengths, 230 W) connected in series, with a flexible configuration that allow the system to operate with a single lamp, two or three lamps in recirculating batch mode or continuous flow mode. In this study, only one lamp was used and the illuminated volume was 4.17 L, which corresponds to a total volume in the plant of 80 L. Disinfection/oxidation experiments were carried out during 180 minutes at PSA from May 2017 to August 2017. More specifically, firstly the reactor was filled in with water matrix (GW or WW) and then, the mixture of the three CECs ( $100 \mu\text{g L}^{-1}$ ) and the sulfamethoxazole resistant *E.coli* solution ( $10^6 \text{ CFU mL}^{-1}$ ) were spiked in. After 15 minute of homogenization, with the lamp still switched off, initial sample was taken in order to ensure the presence of bacteria and contaminants. Then, PAA was added to the reactor tank and after 15 minute of recirculation, the experiment started and the lamp was switched on. Samples were collected at regular intervals depending on the treatment. A fixed controller (ProMinent) housed in the back of the reactor, monitored in continuous water flow rate ( $46 \text{ L min}^{-1}$ ) and UVC lamp intensity ( $33.7 \text{ W m}^{-2}$  for WW

and 99.7 Wm<sup>-2</sup> for GW). The equipment registers, in continuous during the test, the sensor measurements in terms of incident irradiation (Wm<sup>-2</sup>), which is the UVC radiation energy rate incident on a surface per unit area. The accumulated energy was calculated according to Eq. 2:

$$Q_{UVC} (kJ L^{-1}) = \text{Dose} (Jm^{-2}) \cdot A_i / V_T (m^2 L^{-1}) (kJ(1000 J)^{-1}) \quad (\text{Eq.2})$$

where  $Q_{UVC}$  is the accumulated UVC energy per L, Dose is the UVC ultraviolet irradiation (Wm<sup>-2</sup>) emitted by the lamp multiplied by the illumination time,  $A_i$  (0.28 m<sup>2</sup>) is the irradiated surface,  $V_T$  (80 L) is the total volume of the water into the pilot plant and  $V_i$  (4.17 L) is the total irradiated volume. Each experiment was performed in duplicate and the results were plotted as the average of the two replicates.

## 2.4 Selection of antibiotic resistant *E. coli* strain

The antibiotic resistant *E.coli* strain inoculated in GW for disinfection experiments was isolated from the effluent of the biological process (activated sludge) of Almeria UWTP by membrane filtration method and subsequent cultivation on selective medium, according to a previously published procedure (Rizzo et al., 2014). More specifically, 50 mL of wastewater and its serial dilutions were filtered through sterile membranes (cellulose nitrate, 0.45-µm pore size, 47 mm diameter, Millipore) which were incubated (24 h, 37 °C) on AR m-FC (Difco) culture medium supplemented with 64 mgL<sup>-1</sup> of sulfamethoxazole. Antibiotic concentration was chosen according to the double of the respective minimum inhibitory concentration (MIC) values available in EUCAST database (2014). Some colonies were randomly picked up and frozen at -5 °C using sterile vials of cryobeads (Deltalab). To recover the stock, the vial was slowly unfreezed up to reach room temperature (25 °C). One bead was streaked onto a Petri dish of AR m-FC agar and

incubated for 20 h at 37 °C to obtain isolated bacteria colonies. This dish was stored during 1 week in the refrigerator to prepare a fresh *E. coli* culture to make it available for GW disinfection/oxidation experiments. Fresh liquid cultures were prepared taking one colony from the refrigerated stock in the Petri dish using a loop, transferred into 14 mL of liquid LB broth and incubated in a rotary shaker at 100 rpm, during 18-20 h at 37 °C to get the bacterial stationary phase concentration ( $10^9$  CFU mL<sup>-1</sup>). Bacterial suspensions were harvested by centrifugation at 3000 rpm for 10 min. Then, the pellet was re-suspended in Phosphate Buffer Saline (PBS) solution and diluted directly into the GW sample for each experiment to reach the initial concentration of  $10^6$  CFU mL<sup>-1</sup>.

## 2.5 Analytical measurements

Before performing each experiment, water samples were characterized in terms of temperature, pH, conductivity, DOC, inorganic carbon (IC), total carbon (TC), anions and cations. Temperature and pH were measured using a multi parametric sensor WTW multi720. Conductivity was measured by a conductivity meter GLP31 CRISON. Turbidity was measured by a turbidity meter 2100AN model (Hach). DOC, IC and TC were analyzed using a Shimadzu TOC-V-CSN and an auto-sampler ASI-V. DOC was estimated as the difference between the TC and the IC values. Samples were filtered with a 0.22 mm nylon filter (Aisimo, Millipore Millex® GN) before their injection into the equipment. The calibration was performed periodically with potassium hydrogen phthalate in Milli-Q water for TC and a sodium carbonate/sodium bicarbonate (1:1) for IC. Anions and cations were analyzed using ion chromatography, 850 Professional IC – Cation coupled to Metrohm 872 Extension Module. Samples were filtered with a 0.22 mm nylon filter (Aisimo) before injection into the equipment. The calibration was checked before samples measurements by standard solutions of 10 mg L<sup>-1</sup> of each anion and cation analyzed. CECs concentrations



were monitored by ultra-performance liquid chromatography UPLC (Agilent Technologies, series 1200) with a UV-DAD detector and a C-18 analytical column. The initial conditions were 95% water with 25 mM formic acid (A) and 5% ACN (B). A linear gradient progressed from 10% to 0% B in 15 min. Re-equilibration time was 3 min with a flow rate of 1 mL·min<sup>-1</sup>. In order to prepare the vial for the detector, firstly, 4.5 mL of sample were filtered using a 0.22-μm PTFE filter (Millipore). Then, to remove any adsorbed compounds, the filter was washed with 2.5 mL of ACN mixed with the filtered water sample. The prepared solution was transferred into an amber glass vial, put in the UPLC and analyzed using an injection volume of 100 μL. Retention time, quantification limit (LOQ), detection limits (LOD) and maximum absorption (I) for the MCs are shown in Table S1 (in supplementary information file).

H<sub>2</sub>O<sub>2</sub> concentration was measured with a spectrophotometer (PG Instruments Ltd T-60-U) at 410 nm in glass cuvettes with a 1 cm of path length based on the formation of a yellow complex from the reaction of titanium IV oxysulfate with H<sub>2</sub>O<sub>2</sub> following DIN 38409 H15. Absorbance was read after 5 min incubation time against a H<sub>2</sub>O<sub>2</sub> standard curve linear in the 0.1 - 100 mgL<sup>-1</sup> concentration range.

PAA concentration was measured according to the method from HACH (2014). Briefly, 2.5 ml of sample was mixed with 15 mg of N,N-diethyl-p-phenylenediamine (DPD, VWR Chemicals). Absorbance was measured with a spectrophotometer (PG Instruments Ltd T-60-U) at 530 nm after 45 seconds of incubation time against a PAA standard curve (range 0.05 – 5 mg L<sup>-1</sup>).

## 2.6 Bacterial count

Bacterial count was performed by standard plate counting method through a serial 10-fold dilutions in PBS placed into AR m-FC agar Petri dishes. In particular, when the bacterial load was expected to be high, 50 mL drop of adequate dilution was plated, instead, when the bacterial load was expected to be low, volume of 500 mL was spread onto prepared dishes. Antibiotic resistant (AR) *E.coli* colonies were counted after an incubation period of 20 h at 37 °C (detection limit (DL) 2 CFU mL<sup>-1</sup>). Measurements were carried out in duplicates in order to plot average values. The results were highly reproducible and the standard deviation of the replicates is showed in the graphs as error bars. Stock solutions of bovine liver catalase (50 mg L<sup>-1</sup>) and sodium thiosulfate (100 mg L<sup>-1</sup>) were freshly prepared every day and added 20 µL mL<sup>-1</sup> and 1 µL mL<sup>-1</sup> respectively to all water samples taken from the reactors in order to remove any residual concentration of PAA and H<sub>2</sub>O<sub>2</sub>.

## 3. Results

### 3.1 Inactivation of AR *E. coli* by sunlight/PAA in CPC

#### 3.1.1 Control tests

Control experiments were performed with PAA and sunlight as standalone processes, respectively. The effect of PAA on the inactivation of AR *E. coli* under dark conditions was investigated for three PAA concentrations (0.075, 1 and 2 mg L<sup>-1</sup>) in GW. The DL was achieved for 1 and 2 mg PAA L<sup>-1</sup>, with 4 and 5 log unit inactivation respectively, after 15 min (Figure 1). The lower investigated dose (0.075 mg PAA L<sup>-1</sup>) resulted only in half log unit inactivation after 180 min, possibly due to the low initial concentration of both PAA and H<sub>2</sub>O<sub>2</sub> (0.039 mg L<sup>-1</sup>). The DL was even achieved for sunlight experiment, but after 300 minutes treatment (53.67 kJ L<sup>-1</sup>).

### Figure 1

Part of PAA initial concentration was consumed as the oxidant solution was added to GW sample; as can be observed from Figure SI1, PAA concentration measured just after the addition of PAA solution ( $t=0$ ) is lower than the corresponding initial concentration dosed. Moreover, PAA was almost totally consumed after 300 min treatment when 1 mg PAA L<sup>-1</sup> was added; while only 50% was consumed when initial PAA was 2 mg PAA L<sup>-1</sup>.

#### 3.1.2 Effect of PAA initial concentration

Since AR *E. coli* inactivation was quite fast between 1 and 2 mg PAA L<sup>-1</sup> under dark conditions, lower PAA concentrations (in the range 0.075-1.0 mg L<sup>-1</sup>) were investigated during sunlight/PAA tests.  $Q_{UV}$  and solar exposure time required to reach the DL for the inactivation of AR *E. coli*, decreased as PAA dose was increased. More specifically, in GW the best performance was achieved after 30 minutes with 0.2 mg PAA L<sup>-1</sup> ( $Q_{UV} = 4.40 \text{ kJ L}^{-1}$ ) (Figure 2a). Inactivation rates were faster compared to sunlight experiment where DL was achieved after 300 minutes treatment with a higher energy requirement (53.67 kJ L<sup>-1</sup>).

### Figure 2

Moreover, the lower investigated PAA initial concentration (0.075 mg L<sup>-1</sup>) did not produce a sufficient amount of hydroxyl radicals to improve AR *E. coli* inactivation compared to

solar radiation as standalone process. PAA was almost totally consumed during treatment process (Figure SI2a) and a fluctuation in residual  $\text{H}_2\text{O}_2$  concentration (1 mg PAA  $\text{L}^{-1}$  solution) was observed (Figure SI2b).

The effect of sunlight/PAA process was also investigated in WW (Figure 2b). WW was not inoculated with the selected AR *E. coli* strain, therefore the inactivation curves refer to the indigenous *E. coli* population resistant to SMX (initial bacterial density 70-7000 CFU  $\text{mL}^{-1}$ ). In particular, different initial PAA concentrations (1, 2, 4 and 10 mg  $\text{L}^{-1}$ ) were investigated and the best performance was observed for 10 mg PAA  $\text{L}^{-1}$  being the DL achieved after 2 minutes irradiation ( $Q_{\text{UV}} = 0.28 \text{ kJ L}^{-1}$ ) (Figure 2b). The DL was achieved for all the investigated conditions, being the sunlight process the slower ( $Q_{\text{UV}} = 38.03 \text{ kJ L}^{-1}$  after 210 min). According to the results achieved in GW experiments, PAA was almost totally consumed during treatment process in WW too and only when a higher dose (20 mg  $\text{L}^{-1}$ ) was investigated (to evaluate possible effect on CECs degradation) a residual was detected (Figure SI3a). Fluctuation in residual  $\text{H}_2\text{O}_2$  concentration (1 mg PAA  $\text{L}^{-1}$  solution) was also observed in WW experiments (Figure SI3b).

### 3.2 Degradation of CECs by sunlight/PAA in CPC

Typically, when AOPs are investigated in the removal of pollutants from water, a matrix effect can be observed, with a decreased process efficiency as the complexity of the aqueous matrix increases (e.g., from deionized water solutions to GW and WW). The decreased efficiency can be typically explained by the occurrence of easy to oxidize molecules (also known as oxidant demand of the target water matrix) in more complex water matrices compared to less complex ones. Actually, this behaviour was not evident in

the removal of CBZ and DCF by sunlight/PAA, while it was evident for SMX, as explained in the subsequent paragraphs.

### 3.2.1 Control tests

Control experiments to evaluate the effect of PAA and sunlight as standalone processes, on the target CECs were also carried out. In particular, the effect of PAA dose in darkness was investigated at 2 mg L<sup>-1</sup> initial concentrations (Figure 3).

### Figure 3

Unlike of CBZ, DCF was effectively oxidized by PAA after 60 minutes (80% removal), while SMX was removed at a lower rate (52% after 300 min) compared to DCF. Photodegradation rate by sunlight as standalone process changed depending on the target CEC: from no degradation for CBZ, to moderate degradation for SMX (43% after 300 min irradiation and 53.7 kJ L<sup>-1</sup>), to high degradation for DCF (90% after 180 min and 30.2 kJ L<sup>-1</sup>).

### 3.2.2 Effect of PAA initial concentration

The effect of sunlight/PAA process on CECs was investigated for both water matrices (GW and WW). CBZ was refractory to sunlight/PAA process too. Only when initial PAA concentration was increased to 10 mg L<sup>-1</sup> a significant degradation (40%) was observed after 300 min treatment ( $Q_{UV} = 55.53 \text{ kJ L}^{-1}$ ) in GW (Figure 4a).

**Figure 4**

Even for DCF, sunlight/PAA process enhanced degradation compared to PAA as standalone process in GW matrix. The best performance was observed with 2 mg PAA L<sup>-1</sup> that allowed to reach the quantification limit (QL) at  $Q_{UV} = 10.23 \text{ kJ L}^{-1}$  (Figure 4b). Interestingly, as PAA concentration was further increased from 4 to 10 mg L<sup>-1</sup>, DCF degradation rate decreased. Similar behaviour was observed for SMX (Figure 4c). SMX degradation increased as PAA dose was increased from the lower dose (0.075 mg L<sup>-1</sup>) to 4 mg L<sup>-1</sup> (the QL was reached after 60 min and  $Q_{UV} = 9.49 \text{ kJ L}^{-1}$ ) then started to decrease, although to a lower rate compared to DCF.

Due to the higher oxidant demand of WW, PAA doses lower than 1.0 mg L<sup>-1</sup> were not investigated and 20 mg PAA L<sup>-1</sup> was added (Figure 5). The behaviour of sunlight/PAA process in WW matrix was quite different compared to GW. As matter of fact, a moderate efficiency in CBZ degradation was also observed at lower PAA doses; for example 2 mg PAA L<sup>-1</sup> resulted in 23% CBZ degradation after 300 min ( $Q_{UV} = 58.39 \text{ kJ L}^{-1}$ ) and process efficiency increased as initial PAA concentration was increased to 4 and 10 mg L<sup>-1</sup>, being the best removal (56%) observed with 10 mg PAA L<sup>-1</sup> after 300 minutes ( $Q_{UV} = 58.39 \text{ kJ L}^{-1}$ ) (Figure 5a). But as PAA was further increased (20 mg L<sup>-1</sup>), process efficiency drastically decreased, thus showing a similar behaviour to DCF and SMX in GW experiments.

**Figure 5**

DCF degradation was drastically affected by aqueous matrix. The best performance in WW was observed with 20 mg PAA L<sup>-1</sup> that reached the QL after 120 min ( $Q_{UV} = 11.46 \text{ kJ L}^{-1}$ ) (Figure 5b). Moreover, aqueous matrix significantly affected process efficiency at lower PAA concentrations; for example, only 32% degradation was achieved with 2 mgL<sup>-1</sup> of PAA in WW, compared to 99% observed in GW after 60 min treatment ( $Q_{UV} = 10.23 \text{ kJ L}^{-1}$ ). Similarly to the results observed for GW, SMX degradation by sunlight/PAA increased as PAA concentration was increased (Figure 5c). The QL was achieved for 10 mg L<sup>-1</sup> of PAA after 240 min ( $Q_{UV} = 46.03 \text{ kJ L}^{-1}$ ). But a further increase of initial PAA dose to 20 mg L<sup>-1</sup> resulted in a decreased degradation efficiency, thus confirming the trend already observed in GW experiments.

### 3.3 Inactivation of AR *E. coli* by UV-C/PAA process

Really fast inactivation rates were observed in GW for UV-C/PAA process compared to sunlight/PAA (Figure 6). The detection limit was achieved for all PAA investigated doses and even for UV-C as standalone process. In particular, total inactivation was achieved in a few minutes for 0.15 mg PAA L<sup>-1</sup> (2 min) and 0.2 mg PAA L<sup>-1</sup> (4 min), but it is worthy to mention that the initial AR *E. coli* concentrations were really low (47 and 240 CFU mL<sup>-1</sup>, respectively).

## Figure 6

With 0.075 mg L<sup>-1</sup> and 0.1 mgL<sup>-1</sup> of PAA DL was reached with a cumulative energy dose of 67.39 kJL<sup>-1</sup> (180 min irradiation) and 33.93 kJL<sup>-1</sup> (90 min irradiation), respectively.

Due to both the higher oxidant demand of WW compared to GW and the total consumption of PAA and  $\text{H}_2\text{O}_2$  in GW experiments, higher concentrations of PAA (4, 10 and  $20 \text{ mg L}^{-1}$ ) were investigated in UV-C/PAA experiments in WW. Even in this case the initial AR *E. coli* concentrations were really low (63, 35 and  $2 \text{ CFU mL}^{-1}$  for 4, 10 and  $20 \text{ mg PAA L}^{-1}$  experiments, respectively) and the DL was achieved in 2 and 15 min for 10 and  $4 \text{ mg PAA L}^{-1}$  experiments, respectively (data not shown).

### 3.4 Degradation of CECs by UV-C/PAA process

The effect of PAA dose on the degradation of the target CECs by UV-C/PAA process was investigated in both water matrices (GW and WW). Among the three CECs, CBZ confirmed its lower degradation. No significant differences were observed between UV-C as standalone process (20% degradation after 180 minutes treatment and with an energy requirement of  $71.78 \text{ kJ L}^{-1}$ ) and UV-C/PAA process up to  $1.0 \text{ mg PAA L}^{-1}$  in GW (Figure 7a). The best performance (77% removal) was obtained with  $10 \text{ mg PAA L}^{-1}$  after 150 minutes and with a  $Q_{\text{UVC}}$  of  $71.78 \text{ kJ L}^{-1}$ . Residual concentrations of PAA and  $\text{H}_2\text{O}_2$  are available in supplementary information (Figures SI4a and SI4b).

## Figure 7

For the lower concentration investigated in WW ( $4 \text{ mg PAA L}^{-1}$ ) the aqueous matrix effect between GW and WW was not observed (Figure 7b). But when PAA concentration was increased (10 and  $20 \text{ mg PAA L}^{-1}$ ) the difference between the two matrices increased (e.g., 55% CBZ removal in WW compared to 67% in GW for  $10 \text{ mg PAA L}^{-1}$  at approximately



21 kJ L<sup>-1</sup>). Interestingly, at the higher investigated dose (20 mg PAA L<sup>-1</sup>), the residual concentration of PAA is lower than that one for 10 mg PAA L<sup>-1</sup> solution, but the corresponding H<sub>2</sub>O<sub>2</sub> residual concentration is significantly higher (Figure SI5).

The best degradation of DCF in GW was already observed for the lower investigated PAA doses (0.075 mg PAA L<sup>-1</sup>) compared to sunlight/PAA tests (Figure 8a). Even in UV-C/PAA tests, process efficiency started to decrease above a certain concentration (1.0 mg L<sup>-1</sup>) of PAA, being the worst removal observed for the higher investigated PAA dose (10 mg L<sup>-1</sup>). The water matrix affected the photo-oxidation process, because no drastic efficiency decrease was observed as PAA was increased (Figure 8b).

## Figure 8

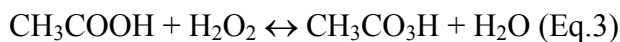
SMX was effectively degraded even with UV-C as stand-alone process in GW (DL was achieved with Q<sub>UV</sub>= 5.78 kJ L<sup>-1</sup>) and WW (DL observed for Q<sub>UV</sub>< 4.58 kJ L<sup>-1</sup>), accordingly PAA addition did not significantly improve process efficiency (for 4 mg PAA L<sup>-1</sup> DL observed for Q<sub>UV</sub>< 2.4 kJ L<sup>-1</sup>) (data not shown).

## 4. Discussion

### 4.1 Photolysis of PAA and effect on PAA and H<sub>2</sub>O<sub>2</sub> concentrations

UV/PAA process has been poorly investigated so far, and the previous works have been basically focused on bacteria inactivation (Koivunen and Heinonen-Tanski, 2005; de Souza et al. 2015); only recently its effect on pharmaceuticals has been addressed (Cai et

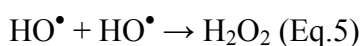
al., 2017). PAA ( $\text{CH}_3\text{CO}_3\text{H}$ ) aqueous solutions commercially available are an equilibrium mixture of acetic acid ( $\text{CH}_3\text{COOH}$ ),  $\text{H}_2\text{O}_2$ , PAA and water, according to the reaction:



Photolysis of the O–O bond in the PAA molecule results in the formation of  $\text{HO}^\bullet$ , according to Equation 4 (Caretto and Lubello, 2003):



The  $\text{CH}_3\text{COO}^\bullet$  molecule will rapidly split in  $\text{CH}_3^\bullet$  and  $\text{CO}_2$  (Martin and Gehr, 2007). Moreover,  $\text{HO}^\bullet$  molecules can also recombine to form  $\text{H}_2\text{O}_2$ :



The production of PAA (Eq.3) and the recombination of  $\text{HO}^\bullet$  molecules (Eq.5) can explain the fluctuations observed in the measurement of residual  $\text{H}_2\text{O}_2$  (Figure SI2b and SI3b).

According to the results achieved in this work, the mechanisms of bacterial inactivation and CECs degradation in PAA photolysis are possible related to a combination of effects including photolysis, oxidation (by PAA solution) and formation of  $\text{HO}^\bullet$ .

#### *4.2 Control tests: effect of radiation and PAA solution on bacteria inactivation and CECs degradation*

The effect of sunlight and UV-C radiation on bacteria inactivation is evident from figures 2 and 6, respectively. To date, all waterborne pathogenic bacteria, among which *E. coli*, have been found to be amenable to sunlight disinfection (McGuigan et al., 2012). Although the UV-A wavelengths are not sufficiently energetic to alter DNA directly, UV-A play an important role in promoting the formation of intracellular reactive oxygen species (e.g.,

HO<sup>•</sup>) which can, in turn, damage DNA. UV-C radiation (200–280 nm germicidal wavelength range, peaks at about 260–265 nm) has a direct effect on bacterial cells because it is absorbed by nucleic acids; cell inactivation can take place through UV-induced damages such as the formation of pyrimidine dimers in their DNA (Kowalski, 2009).

While CBZ was not (under sunlight in GW) or poorly (under sunlight in WW and under UV-C radiation) photodegraded, confirming its refractory behaviour to direct photolysis (Calisto et al., 2011), SMX and DCF were significantly degraded under irradiation. DCF has an absorbance peak at 275–280 nm and its degradation under sunlight is the result of two mechanisms: direct photolysis and self-sensitization, being direct photolysis the main one (Zhang et al., 2011). SMX absorbance spectrum is characterized by a peak at 257–268 nm (depending on solution pH) and tails well over 320 nm, which overlap to solar spectrum (in the 300–325 nm) and make its photodegradation possible (Trovò et al., 2009; Rizzo et al., 2012).

The redox potential of PAA is comparable or even higher than many disinfectants (Zhang et al., 2018), which make it effective in the inactivation of different bacterial populations. Accordingly, our results in terms of AR *E. coli* inactivation under dark conditions (Figure 1) are consistent with previous results on *E. coli* inactivation (Antonelli et al., 2009). Moreover, the high redox potential can also explain the high oxidation rate of DCF and SMX (Figure 3).

### 4.3 Effect of photo driven AOPs with PAA on bacteria inactivation and CECs degradation

According to Eq.4, sunlight/PAA and UV-C/PAA processes result in the formation of HO<sup>•</sup> species. The role of HO<sup>•</sup> in the inactivation of *E. coli* was previously explained through the support of disinfection photocatalytic experiments (Cho et al. 2004). In subsequent studies, a killing mechanism where HO<sup>•</sup> progressively damages the cell surface structures leading to the release of intracellular material/molecules was proposed (Foster et al., 2011). Inactivation of microorganisms by photo driven advanced oxidation with PAA has been mainly investigated by using artificial light while, to our knowledge, only one study was specifically focused on sunlight/PAA process (Formisano et al., 2016) and no previous study evaluated the effect on the inactivation of AR *E. coli*. Formisano et al. (2016) observed a total inactivation of *E. coli* by sunlight/PAA (8 mg PAA L<sup>-1</sup>) process after 120 minutes treatment ( $Q_{UV} = 7.42 \text{ kJ L}^{-1}$ ) in WW, with an initial *E. coli* density as high as 10<sup>5</sup> CFU mL<sup>-1</sup>. These results are different compared to the inactivation rates observed in our work with (i) GW (where the best performance was achieved after 30 minutes with 0.2 mg PAA L<sup>-1</sup> and  $Q_{UV} = 4.40 \text{ kJ L}^{-1}$ ) (Figure 2a) and (ii) WW (being the best performance and DL achieved for 10 mg PAA L<sup>-1</sup> after 2 minutes irradiation and  $Q_{UV} = 0.28 \text{ kJ L}^{-1}$ ) (Figure 2b). The different water matrix and *E. coli* population (total Vs AR *E. coli*) in case (i) and the lower initial bacterial density and the different *E. coli* population in case (ii) may explain the different results observed. Inactivation rates in GW drastically increased when UV-C radiation was used (DL achieved within 2 minutes for 0.15 mg PAA L<sup>-1</sup> and 4 minutes with 0.2 mg PAA L<sup>-1</sup>) instead of sunlight. In WW experiments, the initial AR *E. coli* concentration was really low and the DL was achieved for all the PAA doses investigated. In a previous work on wastewater disinfection by UV-C/PAA process, *E. coli*

inactivation of 3.6 and 4.5 log units were observed for 2 and 4 mg L<sup>-1</sup> of PAA, respectively and an UV-C dose as high as UV dose of 120 mW·s cm<sup>-2</sup> (Lubello et al., 2002).

As the effect of photo driven AOPs with PAA on CECs degradation is of concern, it is worthy to mention that scientific literature is lacking. However, our results are consistent with removal trends of CBZ, DCF and SMX observed in solar driven AOPs (namely photo-Fenton) (Klamerth et al., 2010; Ferro et al., 2015). In our work CBZ was found to be refractory to sunlight/PAA process, according to the results available in the literature for other solar driven AOPs. For example, only 36.9% degradation (same initial CBZ concentration) was observed after 300 minute sunlight/H<sub>2</sub>O<sub>2</sub> (20 mg L<sup>-1</sup>) treatment (Q<sub>UV</sub>=19.3 kJ L<sup>-1</sup>) in WW (Ferro et al., 2015). When UV-C radiation was used as light source in UV-C/PAA process, an higher efficiency was observed (77% removal, Q<sub>UV</sub>=71,78 kJ L<sup>-1</sup>), but the removal efficiency (22%) observed for 1 mg PAA L<sup>-1</sup> is not consistent with previous work (90% removal within 30 min, CBZ initial concentration 1 µM) (Cai et al., 2017). Unlike of CBZ, high removal efficiencies were observed for DCF and SMX in sunlight/PAA experiments, with significantly improved removals in UV-C/PAA tests. However, DCF degradation was drastically affected by aqueous matrix, with a remarkable decreased efficiency in WW (Figure 5b) compared to GW (Figure 4b), in particular at lower PAA concentrations. These results can be explained by the higher oxidant demand of WW compared to GW (confirmed by the PAA and H<sub>2</sub>O<sub>2</sub> consumption for tests with low concentrations of PAA, Figures SI2 and SI3). Matrix effect was also observed for SMX degradation by sunlight/PAA and its removal is consistent with previous works with other solar driven AOPs. As matter of fact, Karaolia et al. (2017) observed complete removal of SMX (initial spiked concentration 100 µg L<sup>-1</sup>) by solar photo-Fenton in urban wastewater in a CPC reactor (50 mg H<sub>2</sub>O<sub>2</sub> L<sup>-1</sup> and 5 mg Fe<sup>2+</sup> L<sup>-1</sup>, 119 min of normalized irradiation time (t<sub>30W,n</sub>)).

Interestingly, similar removal trends were observed for DCF and SMX in sunlight/PAA experiments, in both water matrices investigated. The removal efficiency first increased as initial PAA was increased, then started to decrease. Possibly, the reduced efficiency may be due to the scavenging effect of PAA on HO<sup>•</sup> because of the higher PAA concentration (Cai et al., 2017).

## 5. Conclusions

Photo driven AOP with PAA was investigated as possible tertiary treatment method of urban wastewater by evaluating its efficiency in the inactivation of AR *E. coli* and degradation of a mixture of three CECs under different light sources. Low PAA doses were found to be effective in the inactivation of AR *E. coli*, being UV-C driven process faster (DL achieved at  $Q_{UV}=0.3 \text{ kJ L}^{-1}$  with  $0.2 \text{ mg PAA L}^{-1}$ ) than solar driven one (DL achieved at  $Q_{UV}=4.4 \text{ kJ L}^{-1}$  with  $0.2 \text{ mg PAA L}^{-1}$ ). Higher  $Q_{UV}$  and PAA initial doses are necessary to effectively remove the target CECs (being CBZ the more refractory) and, although process efficiency in sunlight tests is lower compared to UV-C radiation, sunlight driven process is still an interesting option for small wastewater treatment plants taking into account that CECs occur at low concentrations (typically in the range  $\text{ng L}^{-1}$  - fractions of  $\mu\text{g L}^{-1}$ ). However, initial PAA dose should be optimized to find the best compromise between target bacteria inactivation and CECs removal as well as to prevent scavenging effect of PAA on HO<sup>•</sup> because of high PAA concentration.

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709 Figure captions

710 Figure 1. Inactivation of AR *E. coli*: control tests in dark with PAA and sunlight as  
711 standalone processes.  $Q_{UV}$  values are given between brackets.

712 Figure 2. Inactivation of AR *E. coli* by sunlight/PAA in CPC: effect of initial PAA  
713 concentration.

714 Figure 3. Degradation of CECs: control tests with PAA and sunlight as standalone  
715 processes.

716 Figure 4. Effect of sunlight/PAA process on CECs in GW: CBZ (a), DCF (b) and SMX (c).

717 Figure 5. Effect of sunlight/PAA process on CECs in WW: CBZ (a), DCF (b) and SMX  
718 (c).

719 Figure 6. Inactivation of AR *E. coli* by UV-C/PAA process.

720 Figure 7. Effect of UV-C/PAA process on CBZ in GW (a) and WW (b).

721 Figure 8. Effect of UV-C/PAA process on DCF in GW (a) and WW (b).

Table 1: physical-chemical characteristics of GW and WW samples.

	GW	WW
Parameters	Av ± SD	Av ± SD
Cl <sup>-</sup> (mg L <sup>-1</sup> )	337.1 ± 76.7	341.3 ± 16.3
NO <sub>3</sub> <sup>-</sup> (mg L <sup>-1</sup> )	12.1 ± 1.2	23.4 ± 5.3
SO <sub>4</sub> <sup>2-</sup> (mg L <sup>-1</sup> )	200.9 ± 39.6	84.3 ± 7.7
NH <sub>4</sub> <sup>+</sup> (mg L <sup>-1</sup> )	-	23.6 ± 24.2
Na <sup>+</sup> (mg L <sup>-1</sup> )	517.8 ± 94.1	197.5±2.8
Mg <sup>2+</sup> (mg L <sup>-1</sup> )	67.2 ± 15.4	31.4 ± 6.9
K <sup>+</sup> (mg L <sup>-1</sup> )	8.87 ± 1.7	27.1 ± 0.8
Ca <sup>2+</sup> (mg L <sup>-1</sup> )	71.6 ± 16.8	71.4 ± 11.8
pH	8.2 ± 0.5	7.5 ± 0.1
Conductividad (μS cm <sup>-1</sup> )	2396.0 ± 0.10	1921.0 ± 21.4
Turbidez (NTU)	0.6 ± 0.1	6.3 ± 4.4
TOC (mg L <sup>-1</sup> )	1.80 ± 1.6	24 ± 1.0
IC (mg L <sup>-1</sup> )	170.2 ± 9.3	38 ± 8.1

Figure1

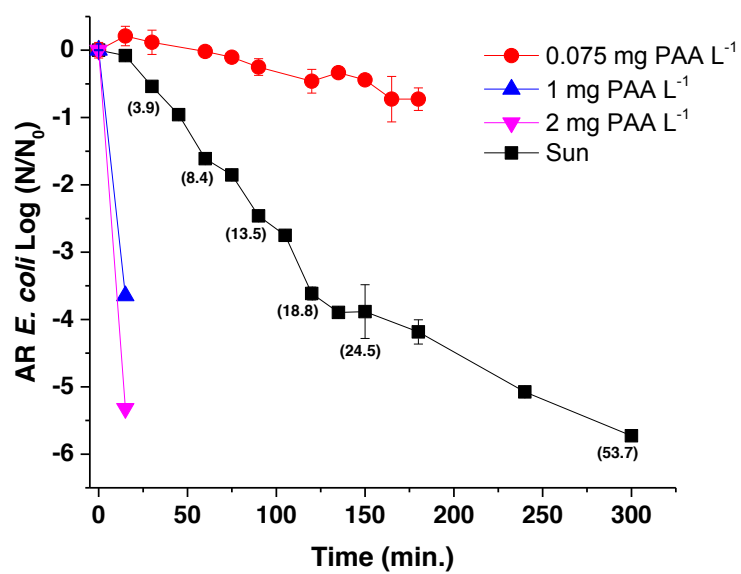
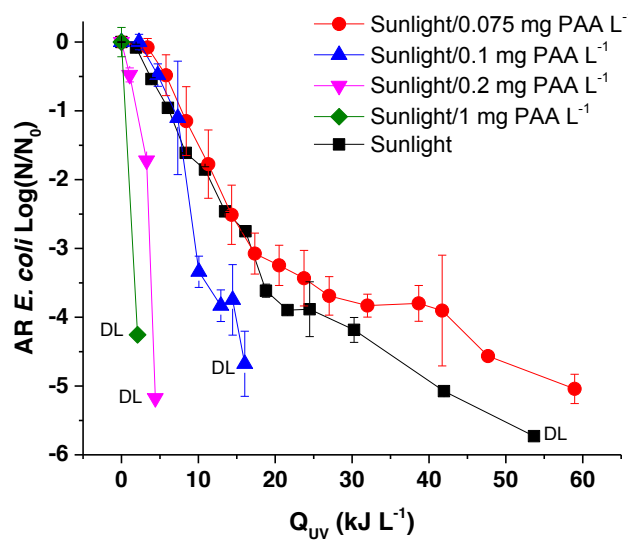


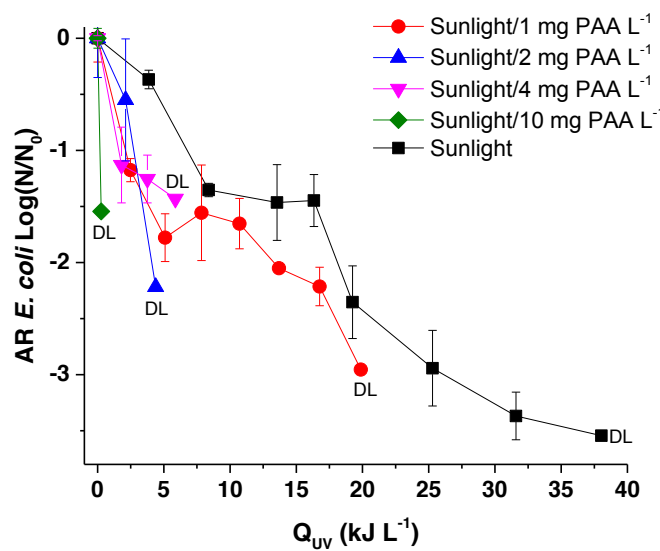
Figure 1



Figure2



a)



b)

Figure 2

Figure3

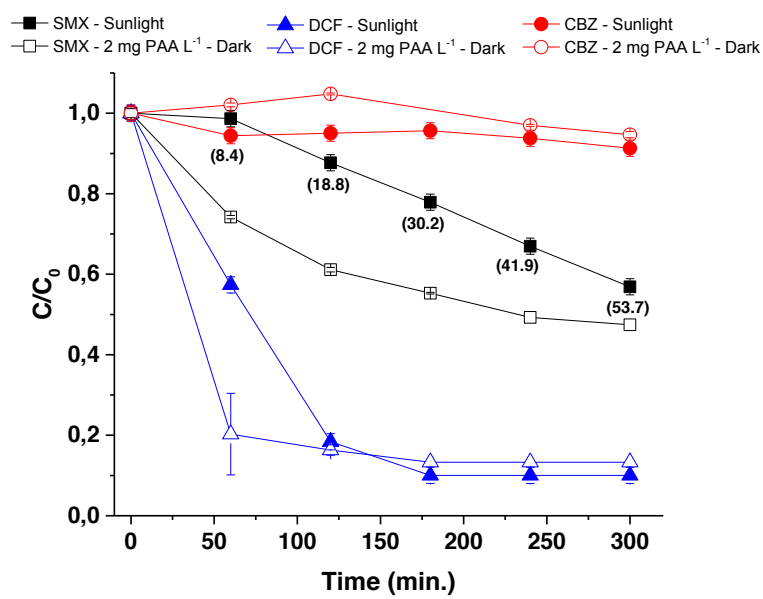


Figure 3

Figure4

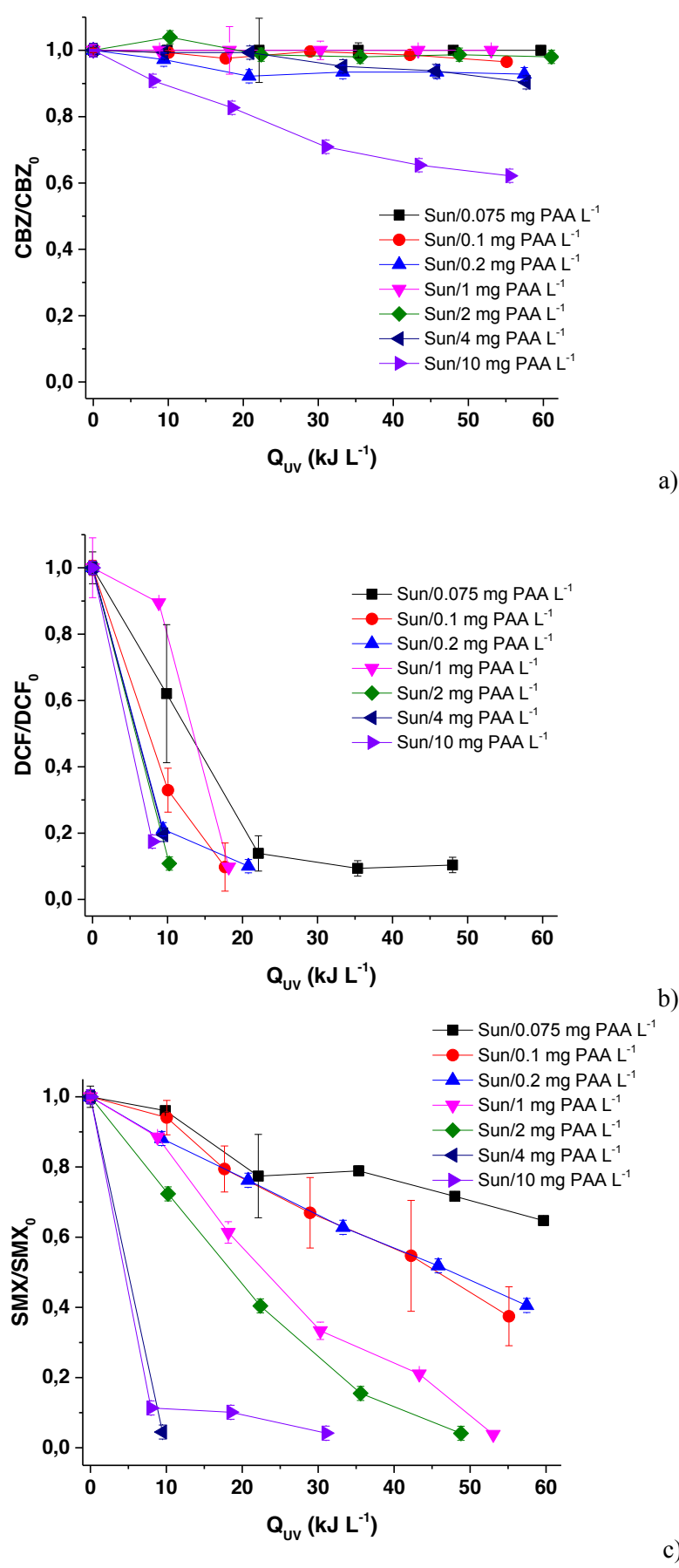
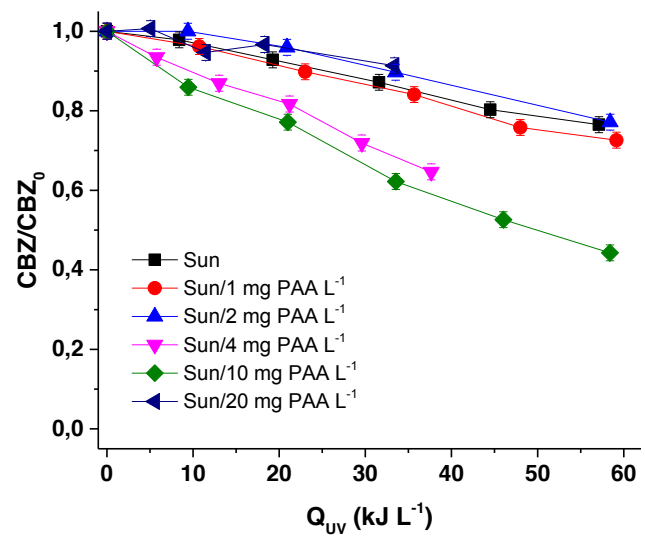
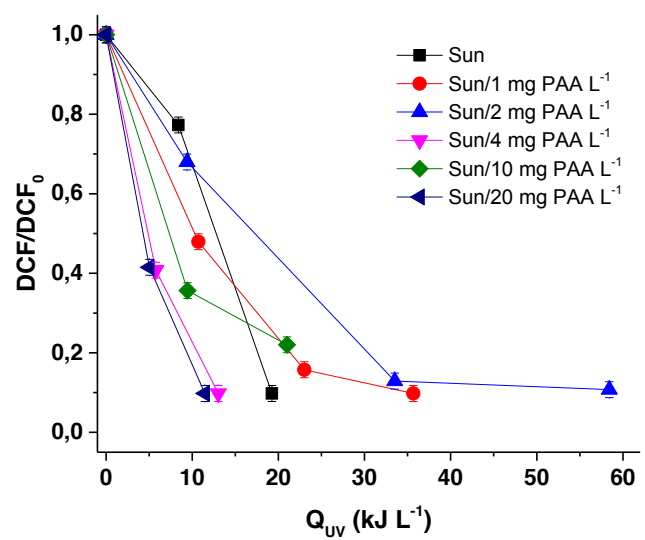


Figure 4

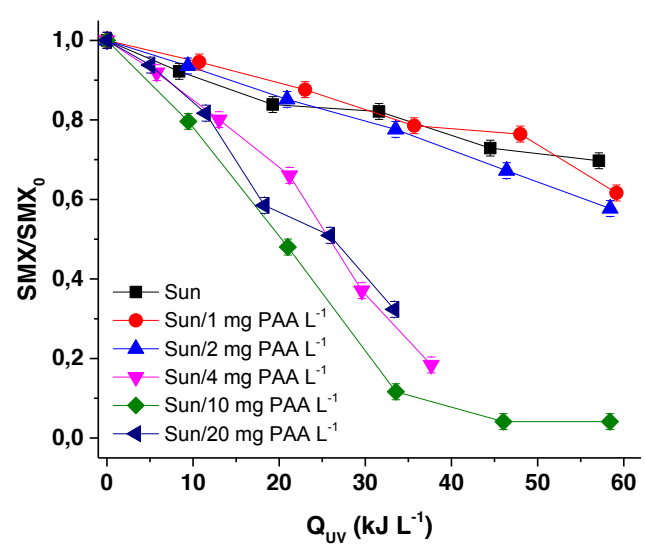
Figure5



a)



b)



c)

Figure 5

Figure6

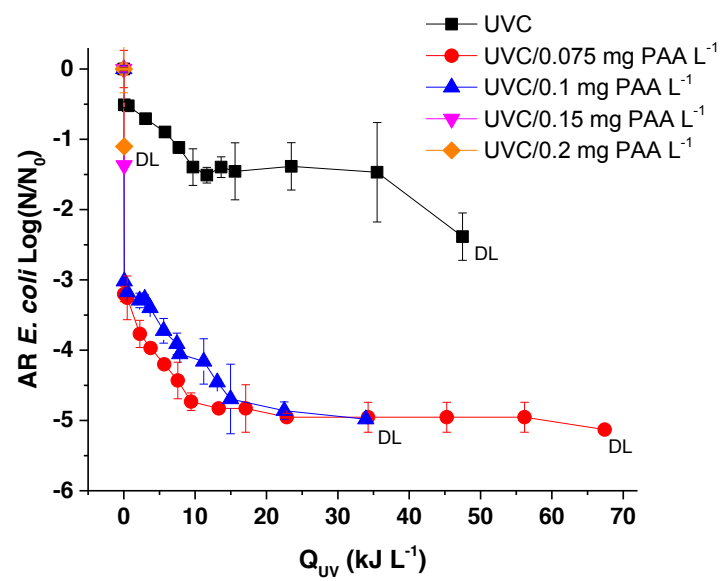
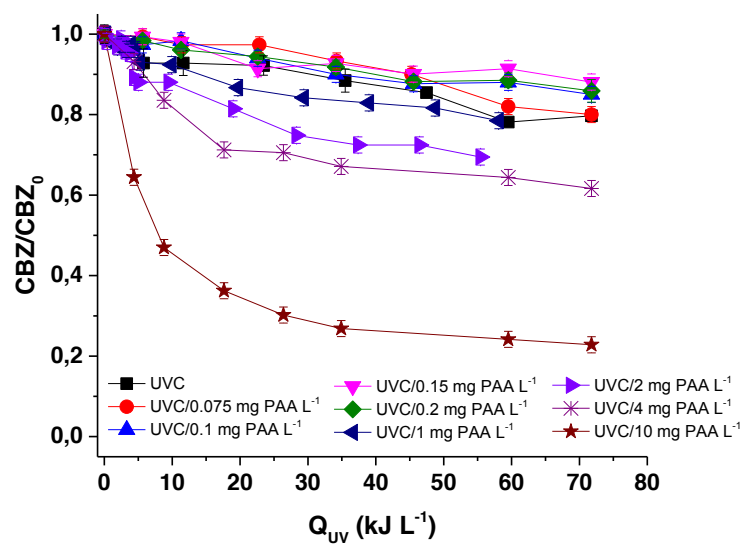
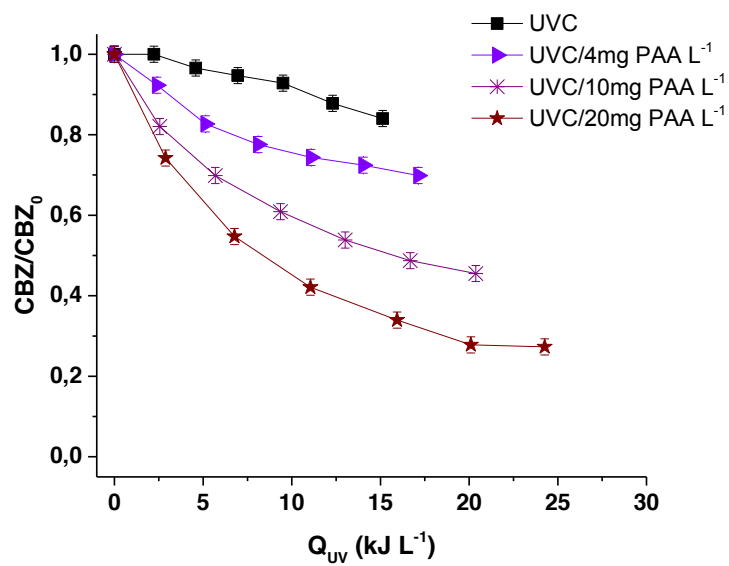


Figure 6

Figure7



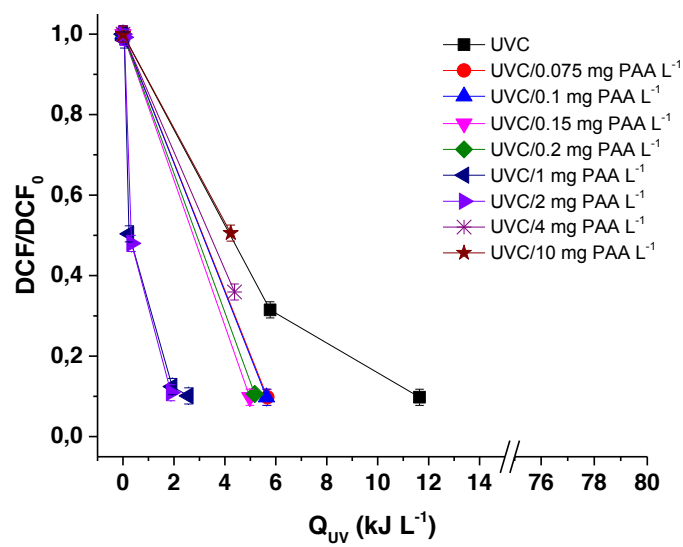
a)



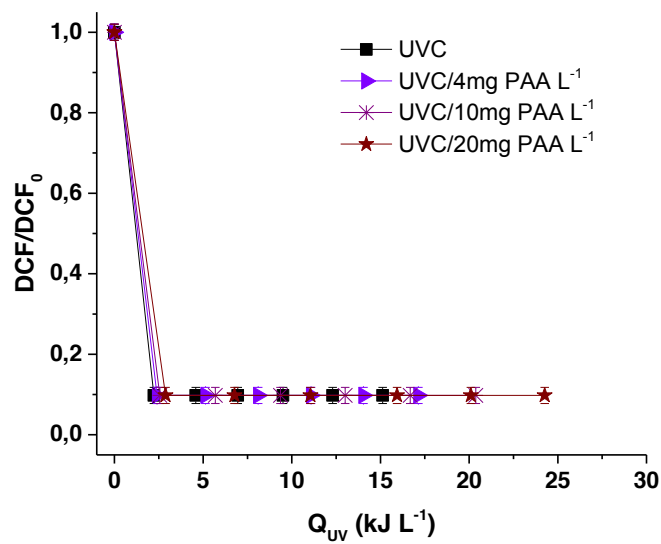
b)

Figure 7

Figure8



a)



b)

Figure 8

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